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3600 Substitute for form 1449A/PTO Application Number 09/975,458 INFORMATION DISCLOSURE Filing Date STATEMENT BY APPLICANT October 11, 2001 Gordon T. Brown RECEIVED First Named Inventor JUN 2 5 2002 Technology Comer 2600 (use as many sheets as necessary) **Group Art Unit** 2683 **Examiner Name** Sheet Attorney Docket 1 of 1 47781-6 Number

U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.1		d Code ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
)FC	-	5,740,271		Kunkler et al.	04-14-1998		
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Examiner Initials	Cite No.'	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)		Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ°
DE		GB	2 251 098	3 A	Allied Irish Banks p.l.c.	06-24-1992		
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Application Number Filing Date First Named Inventor Group Art Unit	09/975,458 October 11, 2001 Gordon T. Brown					
First Named Inventor						
	Gordon T. Brown					
Group Art Unit						
	2683					
Examiner Name	2/11					
Attorney Docket Number	4778					
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KADLEC, "A consolidated approach to investment fund management", TMA Journal, Sep/Oct 1994, Vol. 14, No. 5, pp. 42-46						
BROWN, U.S. Patent Application Serial No. 09/975,457 Entitled "Automated Accounting System", filed October 11, 2001.						
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	JUN 2 5 2002					
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	Date Considered					
2	Examiner Name Attorney Docket Number article (when appropriate), title of the item (book, mag publisher, city and/or country where published. The to investment fund manage 4, No. 5, pp. 42-46 Serial No. 09/975,457 Entitled					

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